

NOV 2-1 2012

CERTIFIED MAIL RETURN RECEIPT REQUESTED

Todd Weiler

RE:

MUR 6558

Brian Jenkins

Woods Cross, Utah 84087

Dear Mr. Weiler:

On November 8, 2012, the Federal Election Commission ("Commission") reviewed the allegations in your Complaint dated April 13, 2012, and found that on the basis of the information provided in your Complaint, information provided by the Respondent, and other available information, that there is no reason to believe that Brian Jenkins violated 2 U.S.C. § 432(e)(1). The Commission also decided to dismiss the allegation that Brian Jenkins violated 2 U.S.C. § 441d and 11 C.F.R. § 110.11. Accordingly, the Commission closed the file in this matter.

Documents related to the case will be placed on the public record within 30 days. See Statement of Policy Regarding Disclosure of Closed Enforcement and Related Files, 68 Fed. Reg. 70,426 (Dec. 18, 2003); Statement of Policy Regarding Placing First General Counsel's Reports on the Public Record, 74 Fed. Reg. 66,132 (Dec. 14, 2009). The Factual and Legal Analysis, which more fully explains the Commission's findings, is enclosed.

The Federal Election Campaign Act of 1971, as amended, allows a Complainant to seek judicial review of the Commission's dismissal of this action. See 2 U.S.C. § 437g(a)(8).

Sincerely,

Anthony Herman General Counsel

BY: Kathleen Guith

Deputy Associate General Counsel

Enclosure
Factual and Legal Analysis

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delegates.

1 2 3 4 5 6 7	FEDERAL ELECTION COMMISSION				
	FACTUAL AND LEGAL ANALYSIS				
	RESPONDENT: Brian Jenkins MUR: 6558				
	I. INTRODUCTION				
.8	This matter was generated by a Complaint filed with the Federal Election Commission by				
9 .	Todd Weiler and Richard Jaussi, alleging violations of the Federal Election Campaign Act of				
10	1971, as amended, (the "Act") by Brian Jenkins.				
11	II. FACTUAL AND LEGAL ANALYSIS				
12	The Complaint in this matter alleges that Jenkins, a candidate for the Republican				
13	nomination for United States Representative for the 3rd Congressional District of Utah, violated				
14	the Act when he failed to file a Statement of Candidacy, Statement of Organization, and the				
15	required disclosure reports with the Commission. Compl. at 1. The Complaint also alleges that				
16	Jenkins placed automated calls identifying himself as a candidate for Congress to 4,000 delegate				
17	attending the Utah Republican Party state convention, and that these automated calls to delegates				
18	did not include required disclaimers in violation of the Act. Id. at 2.				
19	Because there is no available information to indicate that Jenkins exceeded the \$5,000				
20	threshold to become a candidate under 2 U.S.C. § 431(2) and trigger any reporting obligations				
21	under 2 U.S.C. § 432(e)(1), the Commission finds no reason to believe that Jenkins violated 2				
22	U.S.C. § 432(e)(1) by failing to file a Statement of Candidacy. The Commission exercises its				
23	prosecutorial discretion and dismisses the allegations that Jenkins violated 2 U.S.C. § 441d and				

11 C.F.R. § 110.11 by failing to include the required disclaimers on automated calls placed to

A. Factual Summary

2 Brian Jenkins sought the Republican nomination for United States Representative for the 3 3rd Congressional District at the Utah Republican Party state convention, which was held on 4 April 21, 2012. On March 14, 2012, Jenkins filed a Declaration of Candidacy with the State of 5 Utah. Brian Jenkins Declaration of Candidacy, 6 http://www.elections.utah.gov/Media/Default/2012%20Candidate%20Filings%20%20Thursday 7 %2015/ Emailed%20Candidate%20Filings/Brian%20Jenkins%20US%20House%203.pdf 8 ("Declaration"); see UTAH CODE § 20A-9-201 (requiring individuals wishing to run for Congress 9 to submit a Declaration of Candidacy and pay a filing fee). In an Affidavit of Impecuniosity filed with his Declaration, Jenkins attested that, "owing to my poverty, I am unable to file the 10 11 filing fee required by law." Id.; see UTAH CODE § 20A-9-201(5)(d) (stating that a candidate 12 "may file a declaration of candidacy without payment of the filing fee upon a prima facie 13 showing of impecuniosity as evidenced by an affidavit of impecuniosity filed with the filing 14 officer"). 15 According to the Complaint, on or around April 10, 2012, Jenkins placed automated 16 telephone calls to the 4,000 delegates attending the Utah Republican Party's state convention. Compl. at 2. The Complaint did not include a recording or transcript of the call, but states that 17 Jenkins clearly identified himself as "Brian Jenkins, Candidate for Congress," and "proceed[ed] 18 with his message to instill fear and mistrust in the election process, state party officers, etc., 19 which is a common theme of his campaign rhetoric." Id. The Complaint alleges that the 20 21 message did not identify who paid for the call. Id. To support the claim that Jenkins was a candidate, the Complaint also mentions that that 22 23 Jenkins has created a website, but does not identify a web address. The Office of the General

1 C	counsel has identified two	possible websites	associated with Jenki	ns, www.brianforutah.com
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- 2 and www.brianforutah.info. Although the Commission does not know what may have been paid
- 3 for these websites, it appears that these websites may have been created at no cost and hosted for
- 4 a modest monthly cost.
- Jenkins did not secure the Republican nomination at the convention, receiving the votes
- of 29 of the 947 delegates, or 3.06% of the vote. Jenkins did not file with the Commission a
- 7 Statement of Candidacy, designate or register a principal campaign committee, or file any
- 8 disclosure reports.1

B. Legal Analysis

1. Failure to File Statement of Candidacy, Statement of Organization, and Pre-Convention Report

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The Complaint alleges that Jenkins failed to file: (1) a timely Statement of Candidacy; (2) a timely Statement of Organization; and (3) a pre-convention report disclosing receipts and disbursements. Compl. at 1-2. The Complaint bases these allegations on the fact that Jenkins created a campaign website, made "countless appearances to campaign events," made "numerous references to himself as a 'candidate for congress," and then subsequently paid a \$435 filing fee on March 15, 2012. Compl. at 1. In response, Jenkins generally denios the allegations and argues that the complainants fail to provide proof other than their "own self conclusory statements supported by no evidence." Resp. at 2.

An individual seeking nomination for election becomes a candidate under the Act when that individual receives contributions or makes expenditures aggregating in excess of \$5,000.

2 U.S.C. § 431(2); see also 11 C.F.R. § 100,3(a). The Act defines a contribution as "any gift,

¹ Jenkins also unsuccessfully sought the Republican nomination for United States Senate in 2006 and United States Representative for the 2nd Congressional District in 2008. He also did not file a Statement of Candidacy, designate or register a principal campaign committee, or file any disclosure reports with the Commission for those races.

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- subscription, loan, advance, or deposit of money or anything of value made by any person for the
- 2 purpose of influencing any election for Federal office." 2 U.S.C. § 431(8)(A)(i); see also
- 3 11 C.F.R. § 100.52. An expenditure is defined as "any purchase, payment, distribution, loan,
- 4 advance, deposit, or gift of money or anything of value, made by any person for the purpose of
- 5 influencing any election for Federal office." 2 U.S.C. § 431(9)(A)(i); see also 11 C.F.R.
- 6 § 100.111. Ballot access fees paid by a candidate are expenditures that count towards the \$5,000
- 7 threshold under 2 U.S.C. § 431(2).

8 A candidate must file a Statement of Candidacy within 15 days after becoming a

9 candidate. 11 C.F.R. § 101.1(a). The candidate also must designate a principal campaign

committee on a Statement of Candidacy filed with the Commission. 2 U.S.C. § 432(e)(1);

11 11 C.F.R. § 101.1(a). Each authorized political committee of a candidate must register with the

12 Commission by filing a Statement of Organization, and file reports disclosing contributions and

13 expenditures. 2 U.S.C. §§ 433, 434(a); 11 C.F.R. §§ 102.1(a), 104.1(a), 104.5. Accordingly, if

14 Jenkins received contributions or made expenditures aggregating in excess of \$5,000, he was a

candidate pursuant to 2 U.S.C. § 431(2), and was required to file a Statement of Candidacy and

designate a principle campaign committee, which would have to file a Statement of Organization

and periodic reports disclosing the committee's receipts and disbursements.

Here, the available information is not sufficient to establish reason to believe that Jenkins became a candidate under the Act.² The available information supports the Complaint's assertion that Jenkins made disbursements for campaign websites and automated calls to convention delegates. Moreover, because Jenkins reportedly used the state party treasurer's

² Statement of Policy Regarding Commission Action in Matters at the Initial Stage in the Enforcement Process, 72 Fed. Reg. 12,545 (Mar. 16, 2007) (The Commission finds "reason to believe" in matters where the available evidence is "at least sufficient to warrant conducting an investigation, and where the seriousness of the alleged violation warrants either further investigation or immediate conciliation.")

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1 calling equipment to make the calls, Jenkins may have accepted an in-kind contribution from the

2 state party treasurer if he was not charged the usual and normal rate for use of the equipment.

3 See 11 C.F.R. § 100.52(d)(1). These receipts and disbursements, however, appear to be minimal

4 and appear to fall below the \$5,000 threshold at 2 U.S.C. § 431(2). The amounts disbursed in

5 connection with the automated calls to the delegates were likely small. Finally, while ballot

access fees are expenditures, contrary to the Complaint's assertion that Jenkins paid a \$435 filing

fee on March 15, 2012, as noted above, his filing with the State of Utah indicates that he

received a waiver of the filing fee due to his inability to pay. Accordingly, the total of Jenkine's

9 known expenditures appears to have been less than the \$5,000 threshold under 2 U.S.C. § 431(2).

Moreover, while it appears that one of the websites solicited donations, the Commission has no information suggesting that Jenkins solicited or raised more than the \$5,000 threshold under 2 U.S.C. § 431(2). To the contrary, it appears that Jenkins solicited small contributions and used volunteers, since the website encourages potential donors to make \$5 contributions to a "[s]mall, efficient campaign[] in which everyone is donating their time and money." See 11 C.F.R. § 100.74 (exempting volunteer services from the definition of contribution). Even if the Commission were to take into account the value of the websites and robocalls, it is likely that their cost was minimal and there is no available information that Jenkins received in excess of \$5.000 in contributions.

The available evidence does not provide a clear basis on which to find reason to believe and investigate whether Jenkins met or exceeded the \$5,000 threshold to become a candidate and trigger any reporting obligations under the Act pursuant to 2 U.S.C. § 431(2). Jenkins appears to

A press report indicates that Jenkins paid "about \$75" to place the automated calls to about 4,000 delegates using the state party treasurer's calling equipment. Ladd Bruhaker, *Utah GOP Convention Going Electronic, But Not Without Controversy*, DESERET NEWS (Apr. 16, 2012), http://www.deseretnews.com/article/865554164/Utah-GOP-convention-going-electronic-but-not-without-controversy.html?pg=ail.

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have received a waiver of the State of Utah's filing fee "owing to [his] poverty," spent "about

2 \$75" on automated calls to 4,000 delegates, created two websites, solicited small contributions

and volunteers on one of his websites, and received only 3.06% of the vote. Accordingly, the

Commission finds no reason to believe that Jenkins violated 2 U.S.C. § 432(e)(1) by failing to

5 file a Statement of Candidacy.

2. Failure to Include Disclaimers

The Complaint alleges that Jenkins violated the Act by failing "to provide appropriate and necessary disclosures as required by BCRA for robodialed calls to delegates" that were made on or about April 10, 2012. Compl. at 2.

The Act and Commission regulations require a disclaimer when: (1) a political committee makes a disbursement for the purpose of financing a public communication, electronic mail of more than 500 substantially similar communications, or internet website; (2) any person makes a disbursement for the purpose of financing public communications expressly advocating the election or defeat of a clearly identified candidate; (3) any person solicits any contribution through a public communication; and (4) any person makes a disbursement for an electioneering communication. 2 U.S.C. § 441d(a); 11 C.F.R. § 110.11(a). A "public communication" is defined as a "communication by means of any broadcast, cable, or satellite communication, newspaper, magazine, outdoor advertising facility, mass mailing, or telephone bank to the general public, or any other form of general public political advertising." 11 C.F.R. § 100.26. A telephone bank "means more than 500 telephone calls of an identical or substantially similar nature within any 30-day period." 2 U.S.C. § 431(24); 11 C.F.R. § 100.28.

If a communication requires a disclaimer and is paid for and authorized by a candidate, authorized political committee of a candidate, or its agents, the disclaimer shall clearly state that

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MUR 6558 (Jenkins)
Factual & Legal Analysis
Page 7 of 7

- the communication has been paid for by such authorized political committee. 2 U.S.C.
- 2 § 441d(a)(1); 11 C.F.R. § 110.11(b)(1). Disclaimers must be presented in a "clear and
- 3 conspicuous manner" to give the listener "adequate notice of the identity of the person or
- 4 political committee that paid for and, where required, that authorized the communication."
- 5 11 C.F.R. § 110.11(c)(1).

6 Given the paucity of the factual record and the small scope of the activity — 4,000 calls

7 at a reported post of \$75 — and the fact that Jenkins reportedly identified himself as responsible

for the call, pursuing this matter with an investigation would not be an efficient use of the

9 Commission's resources. See Heckler v. Chaney, 470 U.S. 821 (1985); cf. First Gen. Counsel's

10 Rpt. at 8, MUR 6125 (McClintock) (recommending that the Commission dismiss allegations that

automated calls did not include the appropriate disclaimers and send a cautionary letter because

the matter would require an investigation to determine the contents of calls, the respondents

provided sworn assertions that they recorded the call with a disclaimer, the amount in violation

was small, and the omission was likely a result of vendor error). Accordingly, the Commission

15 exercises its prosecutorial discretion and dismisses the allegations that Jenkins violated 2 U.S.C.

16 § 441d and 11 C.F.R. § 110.11 by failing to include the required disclaimers on automated calls

17 placed to delegates.